

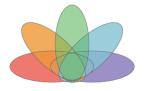
#### S&E Webinar Series: Evaluating Health Systems Change in Behavioral Health Settings

Tuesday, February 9, 2021 3:00 p.m. – 4:00 p.m.

Having trouble connecting?

Dial into the session by calling: +1 929 205 6099

Meeting ID: 846 9207 7369 Passcode: 963819

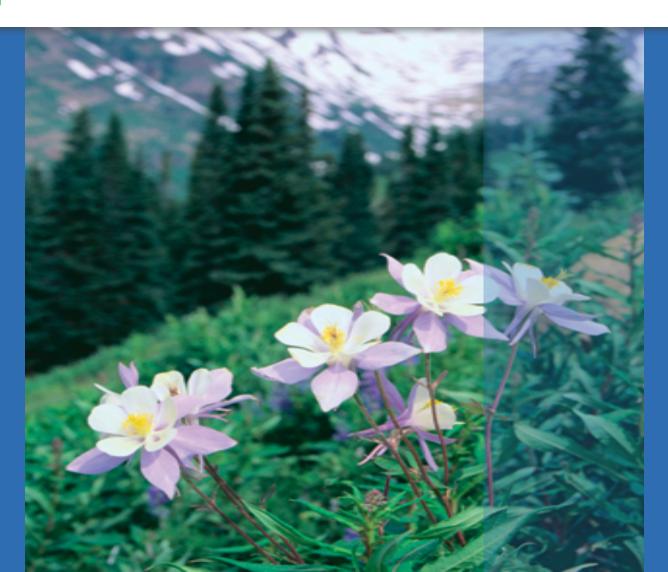


### Behavioral Health & Wellness Program

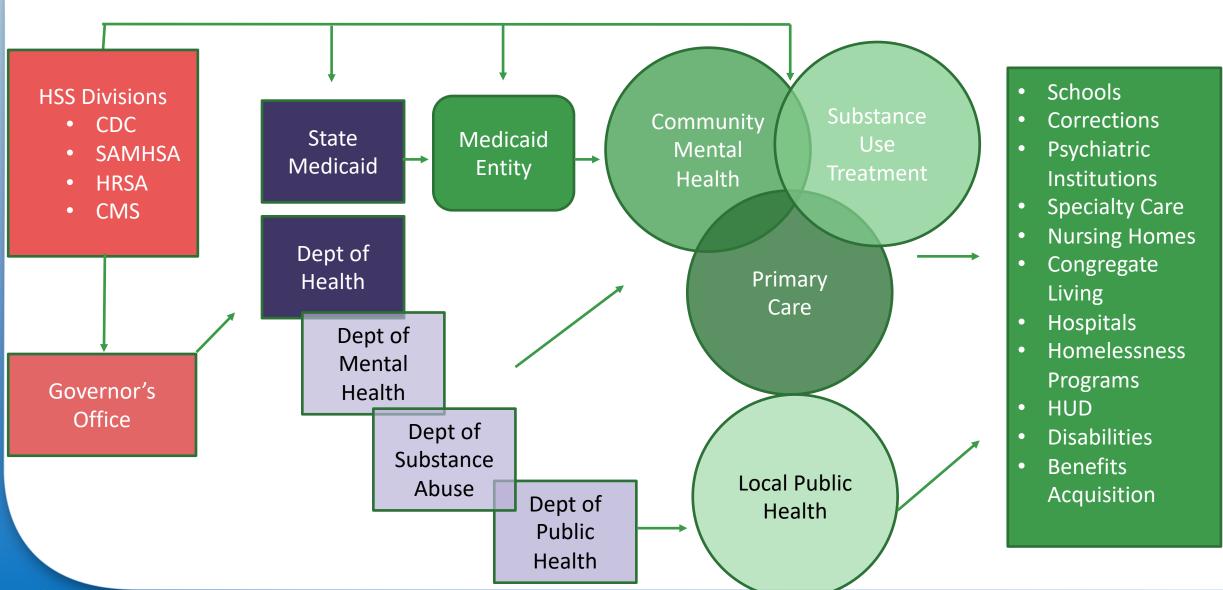
# Evaluating Health Systems Change in Behavioral Health Settings

Chad Morris, PhD February 9, 2021





#### Public System Complexity & Fragmentation



#### **National Mental Health Services Survey (N-MHS)**

https://www.samhsa.gov/data/sites/default/files/2016 National Mental Health Services Survey.pdf.

#### **National Survey of Substance Abuse Treatment Services (N-SATSS)**

https://www.samhsa.gov/data/sites/default/files/2016 NSSATS.pdf

#### **HSS Operating Divisions**

- CDC
- SAMHSA
- HRSA
- CMS

#### 2020 DIRECTORY OF MENTAL HEALTH FACILITIES

2020 BIRLOTORT OF MENTAL HEALTH AGILITIES

Shiloh Home Inc

**FRP** 

9700 East Easter Lane

Englewood, Colorado 80112

Phone: (720) 213-1399 Intake: (303) 932-9599

① MH SUMH ② PHDT RES ③ RTCC ④ CBT GT IPT PTM TT ⑥

PVTN (8) CLF MD (10) SED (11) CM (13) SMPD (14) CHLD

Steven A Cohen Military Family Clinic University of CO Anschutz Med Campus

7800 East Orchard Road

Suite 150

Englewood, Colorado 80111

Phone: (303) 724-4255

① MH SÙMH ② OP TELE ③ OMH ④ CBT CFT GT IDD IPT PTM TT ⑥ PVTN ⑧ MC MD MI PI SF ⑨ PA SS ⑩ TAY SE GL VET MF CO

TRMA PTSD (1) CM FPSY SPS (1) SMPD (4) ADLT CHLD SNR YAD

#### **ESTES PARK**

SummitStone Health Partners Estes Park Branch

#### FORT COLLINS

Cheyenne VA Medical Center

Fort Collins Community Based OP Clinic

2509 Research Boulevard

Fort Collins, Colorado 80526

Phone: (970) 224-1550

Intake: (888) 481-8828

① MH SÙMH ② OP TELE ④ CBT CFT DBT ECT GT PTM TT ⑤ WI

⑥ VAMC ⑧ MC PI VAF ⑩ SE VET ADM CO TRMA PTSD SMI ⑪

ACT CM COOT DEC EPSY HS IPC SEMP SPS VRS PEER @ NRT NSC

STU TCC (13 SMON (4) ADLT SNR YAD (5) VO

Poudre Valley Hospital Mountain Crest

4601 Corbett Drive

Fort Collins, Colorado 80528

Phone: (970) 207-4800x4811

Intake: (970) 207-4800

① MH SÙMH ② HI OP TELE ③ PSY ④ CBT CFT DBT GT IDD IPT

PTM TT (5) CIT WI (6) PVTN (8) MC MD MI PI SCJJ SEE SE SI SWES

VAF (1) CO SMI (1) CM DEC FPSY SPS (2) NRT STU (1) SMON (4)

ADLT CHLD SNR YAD 16 AH



COLORADO

#### **Facility Smoking Policy**

SMON Smoking not permitted

SMOP Smoking permitted without restriction
SMPD Smoking permitted in designated area



#### Predictors of Tobacco Use Among Persons With Mental Illnesses in a Statewide Population

Dept of Mental Health

Chad D. Morris, Ph.D.
Alexis A. Giese, M.D.
Jennifer J. Turnbull, B.A.
Miriam Dickinson, Ph.D.
Nancy Johnson-Nagel, Ph.D.

Colorado Client Assessment Record

Required across the Behavioral Health System

- n = 111,984 across 2 years
- Demographics and Provider DSM Diagnoses
- Tobacco use- "yes" or "no"

*2006 Psychiatric Services*, 57: 1035-1038





Contents lists available at ScienceDirect

#### Drug and Alcohol Dependence

journal homepage: www.elsevier.com/locate/drugalcdep



Dept of Public Health

Smoking cessation behaviors among persons with psychiatric diagnoses: Results from a population-level state survey



Chad D. Morris a,\*, Emily K. Burns b,1, Jeanette A. Waxmonsky c, Arnold H. Levinson d

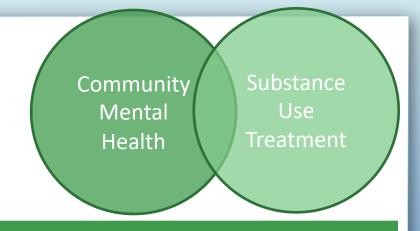
# The Attitudes and Behaviors Survey on Health (TABS)

#### Random Sample of Coloradoans

- n = 14,156
- Self Report
  - Smoking Behaviors
  - Quit Attempts
  - Mental Health Status



### Tobacco Control Self-Assessment Survey



Eight Domains (29 Indicators) for Evidence-Based Practices

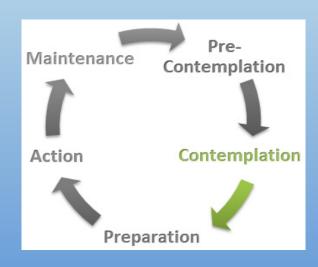
- TOBACCO EDUCATION AND SUPPORT
- SCREENING AND TREATMENT PLANNING
- ONSITE MEDICATION PRESCRIBING
- ONSITE PSYCHOSOCIAL INTERVENTIONS
- COMMUNITY REFERRALS
- PEER RECOVERY SERVICES
- TOBACCO-FREE POLICY
- SUSTAINABILITY PLANNING

Scores for each individual item				
Response to item	Value	Stage of readiness		
Not currently		PRE-		
considering/decided against	1	CONTEMPLATION		
Considering but not yet				
actively planning	2	CONTEMPLATION		
Actively planning for 3-6				
months from now	3	PREPARATION		
Scheduled in the next 3-6				
months	4	ACTION		
<b>Currently occurring</b>	5	MAINTENANCE		

#### **Tobacco Control Self-Assessment Survey**

30 Colorado Behavioral Health Agencies

Survey Items	Pre- Contemplation	Contemplation	Preparation	Action	Maintenance
READINESS TO PROVIDE TOBACCO EDUCATION AND SUPPORT					
Provide tobacco education to consumers	10%	5%	5%	0%	70%
Provide training to staff on evidence-based tobacco cessation strategies and interventions	20%	15%	15%	0%	50%
Development of tobacco cessation materials	10%	30%	10%	0%	50%
READINESS TO PROVIDE TOBACCO SCREENING AND TREATMENT PLANNING SERVICES					
Ask/Document tobacco use for all clients at intake	20%	10%	0%	5%	65%
Ask/Document tobacco use for all clients at every visit	25%	15%	0%	5%	55%
Advise tobacco users to quit at every visit and document	25%	15%	0%	5%	55%
Treatment plans include tobacco cessation goals	25%	20%	5%	5%	45%



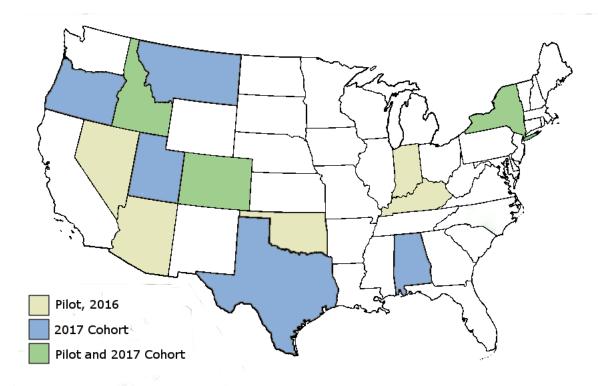
	Survey Items	2014	2015	Change in "Readiness"
	ADINESS TO PROVIDE TOBACCO EDUCATION AND			
Pro	ovide tobacco education to consumers	4.4	4.7	+
	ovide training to staff on evidence-based tobacco cessation rategies and interventions	3.3	4.4	+
De	evelopment of tobacco cessation materials	3.8	4.3	+

Integrating Tobacco Cessation Screening, Assessment, Brief Intervention and Referral into Daily Practice



Build a Clinic Learning Community 2016-2017 Summary Report and Playbook 31 August 2017

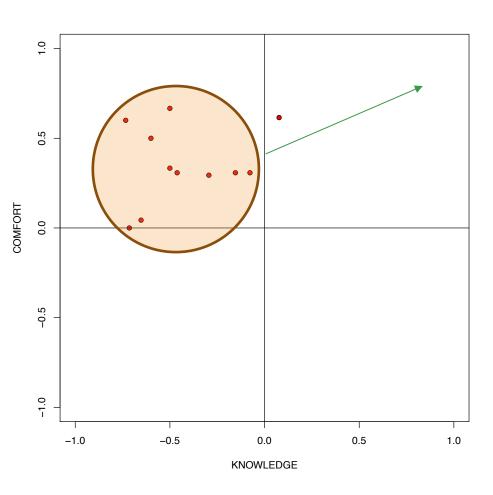
#### Build-a-Clinic Geographic Reach, 2016-2017



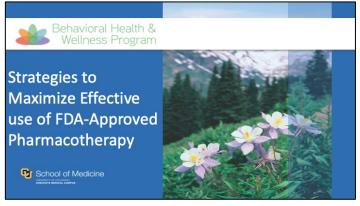
https://www.bhwellness.org/wp-content/uploads/BAC-Playbook-FINAL.pdf



#### **Staff Training Assessment**







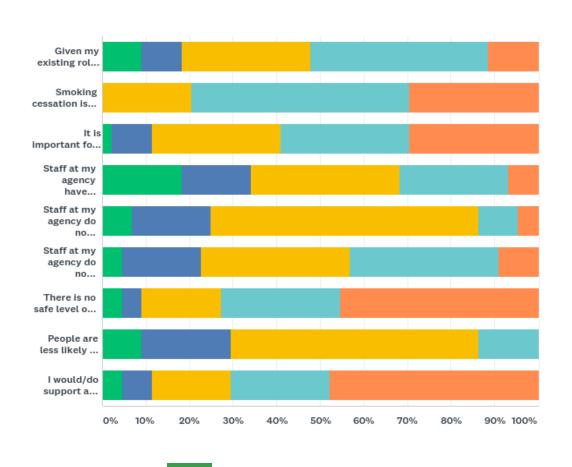






#### Corrections

#### **Staff Attitudes**



Strongly Disagree

Strongly Agree

#### Highest:

- No safe level of secondhand smoke
- Support a tobacco-free policy
- Smoking cessation possible

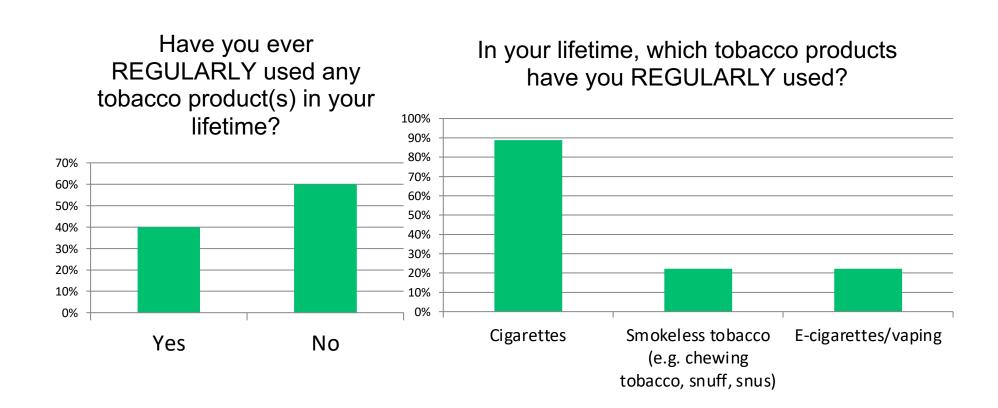
#### Lowest:

 Staff at my agency have sufficient time



#### Staff Tobacco Use

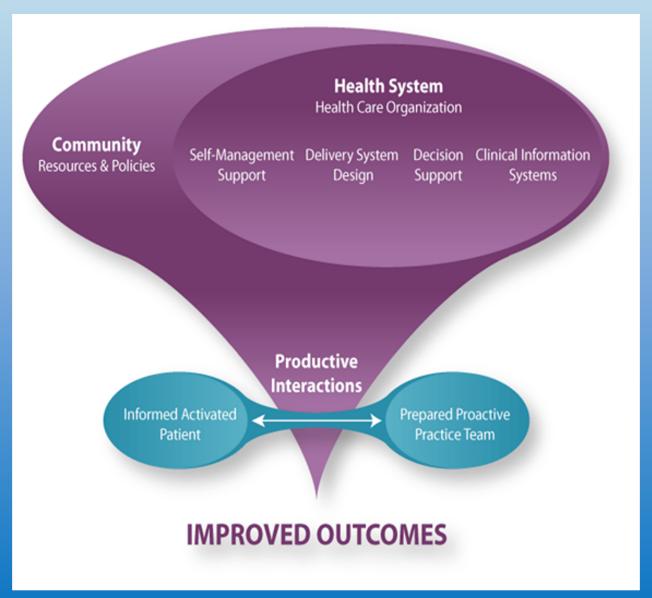
40% of respondents are ever tobacco users



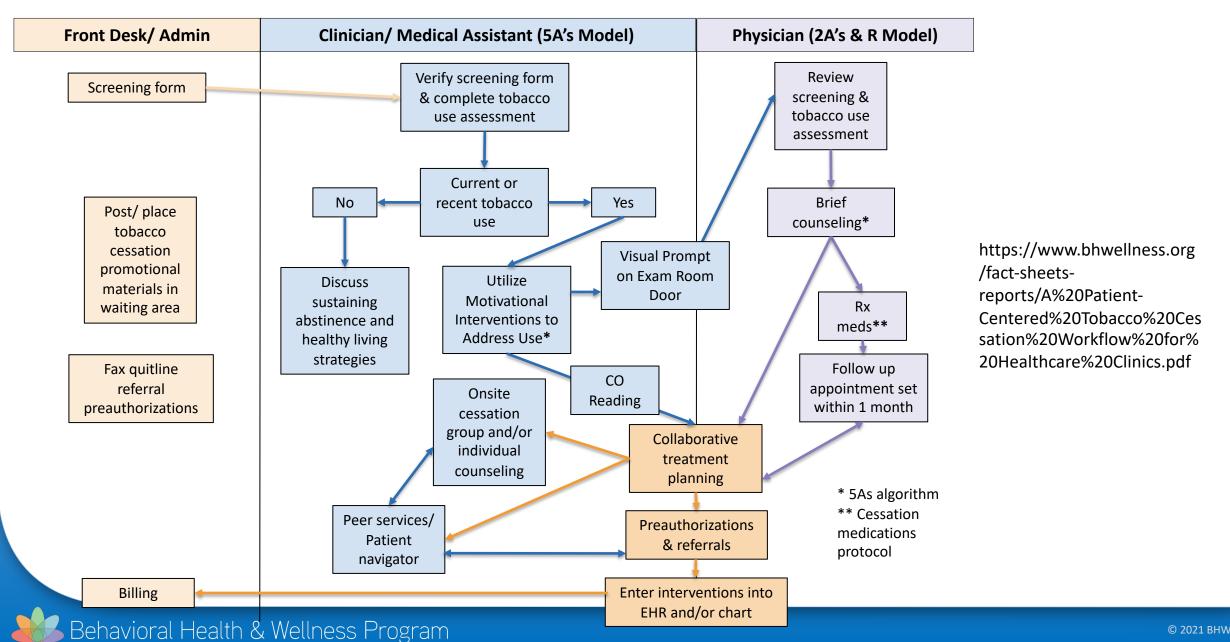
# Act Plan Study Do

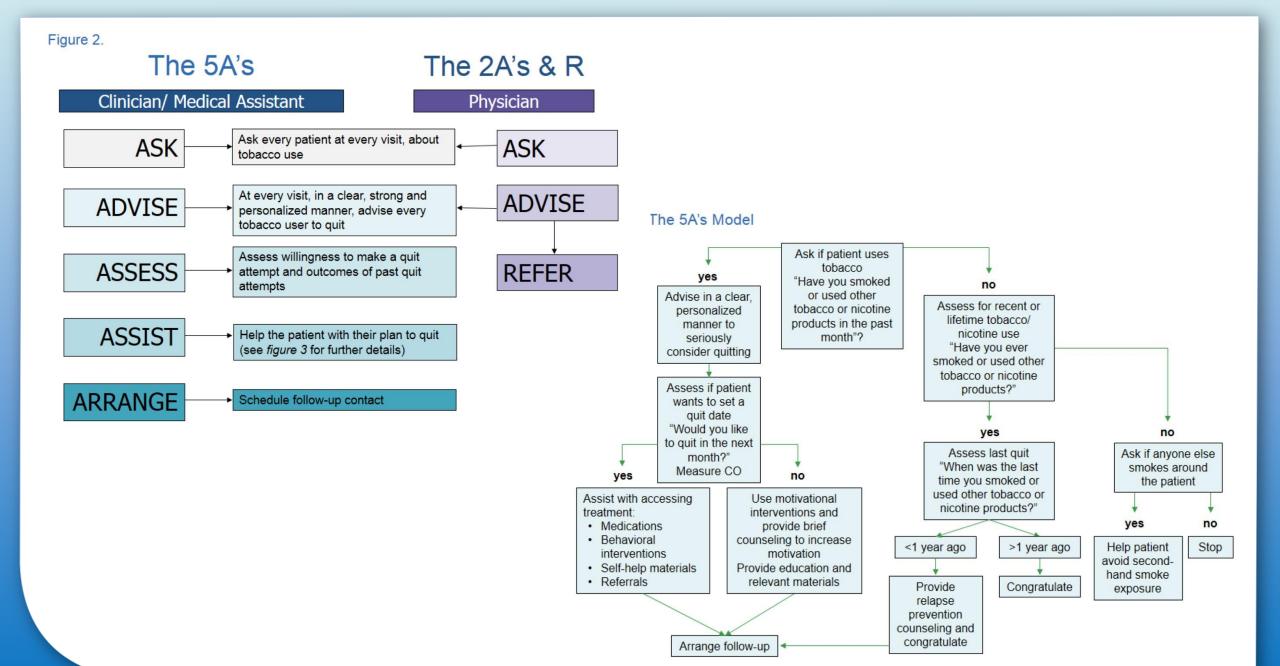
Name:	Date: 03-15-17
Training Location: Build a Clinic	DIMENSIONS training attended:
<u> </u>	☐ Tobacco Free Policy — Fundamentals
Organization Name: N/A	Tobacco Free Program – Advanced Techniques
	☐ Tobacco Free Program – Fundamentals ☐ Well Body Program – Advanced Techniques
Best Way to Contact You:	☐ Well Body Program – Fundamentals
_ `	✓ Other (specify): Build a Clinic
☑ Email:	Readiness for change (check one):
✓ Phone:	☐ Pre-contemplation: Not considering change
Position (check all that apply):	☐ Contemplation: Considering change
☐ Administrator ☐ Other (specify):	☐ Preparation: Making concrete plans for change
☐ Peer Advocate	
☐ Provider	☐ Maintenance: Sustaining changes already made
Consider SMART goal criteria (Specific, Measurable, A Goal #1:	chievable, Realistic, Timely).  who use tobacco and are "willing to talk to a professional about it" will be called
a week after their clinic appointment. Success of this process w	ill be reviewed during the next TA call. CARD should track how many clients e quit line (3) ask for a pharmacotherapy or a pharmacotherapy consult.
Completion of Goal #1 will be evidenced by:	
Record of the enumerated list above.	
necord of the enumerated list above.	
Potential barriers to achieving Goal #1:	
None?	
None:	
Goal #2:	
Goal #2: Tracy and Mary Karen will review the BHWP Tobacco	o Free Policy Toolkit and begin the process of filling out
Tracy and Mary Karen will review the BHWP Tobacco worksheets to design their long range plans in better	o Free Policy Toolkit and begin the process of filling out detail. Long range plan will be reviewed with BHWP during next
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Tracy and Mary Karen will review the BHWP Tobacco worksheets to design their long range plans in better	detail. Long range plan will be reviewed with BHWP during next
Tracy and Mary Karen will review the BHWP Tobacco worksheets to design their long range plans in better TA call and supportive short term goals will be picked Completion of Goal #2 will be evidenced by:	detail. Long range plan will be reviewed with BHWP during next
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#### **Chronic Care Model**



#### **Tobacco Cessation Workflow**





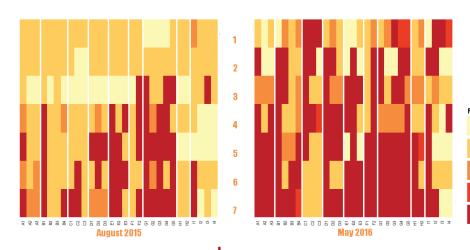
### Prepared Practices Turning Up the Heat

Substance Use Treatment

Wellness & Recovery Learning Community

**EVALUATION REPORT** 

Prepared by Behavioral Health & Wellness Program, University of Colorado, School of Medicine



HEATMAP
WRLC Progress
August 2015-May 2016

This heatmap is a grid made up of cells corresponding to responses to each of the 29 questions from the Organizational Self-Assessment. The WRLC sites are numbered 1-7 along the vertical axis. The questions are grouped into 9 categories [A-I] along the horizontal axis. Each category has two or more questions associated with it. So for example, Category A [Tobacco Education] has three questions associated with it A1, A2, and A3, on the heatmap].

Moteurrently considering/ decided against

Considering but not
actively planning
Actively planning: 3-6 mo.

Actively planning: next3 mo.

Responses are coded in a color gradient ranging from pale yellow ("Not currently offering) to dark red ("Currently offering"). Progress is noted by the reddening of the entire grid.

This heatmap is not designed to show change within sites, but rather across the cohort as a whole. As such, both pre- and post-results are sorted with the least advanced site for each cohort at the top and the most advanced at the bottom. In other words, Site 1 (pre) and Site 1 (post) are not necessarily the same site.

See Appendix B for the OSA survey with complete questions.

Figure 1: Organizational Self-Assessment survey responses during the pre-and post-program periods





Convene Your Wellness Committee



**Provide Education** 



Create Your Change Plan



Offer Tobacco Cessation Services



**Draft Your Policy** 



**Launch Your Policy** 



**Communicate Your Plan** 



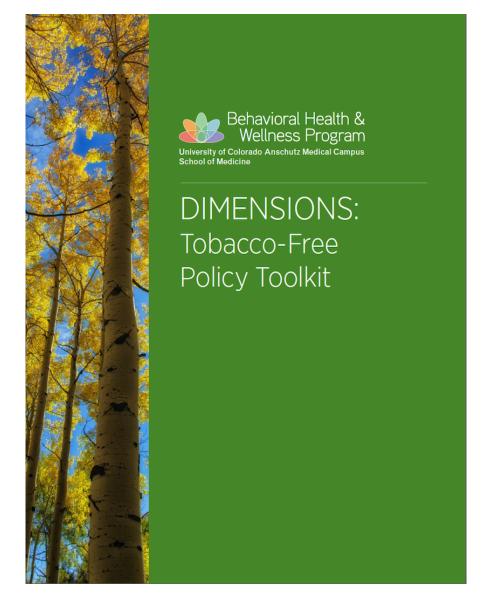
**Enforce Your Policy** 



**Build Community Support** 



**Evaluate Your Program** 

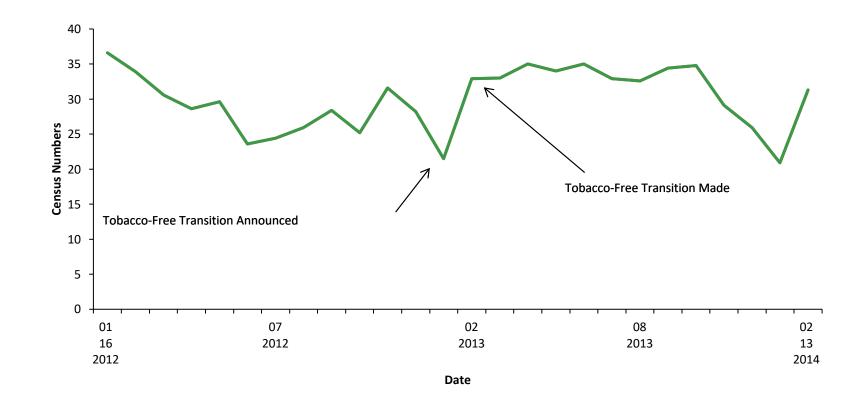


https://www.bhwellness.org/resources/toolkits/BHWP-Tobacco-Free-Toolkit.pdf

### Tobacco-Free Policy Outcomes for an Inpatient Substance Abuse Treatment Center

Substance Use Treatment

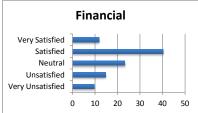
Richey R, Garver-Apgar C, Martin L, Morris C, Morris C (2017). Tobacco-Free Policy Outcomes for an Inpatient Substance Abuse Treatment Center. Health Promotion Practice, online first doi:10.1177/1524839916 687542



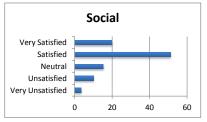
#### **Survey Results: Overall Wellness**

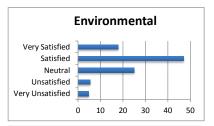
The following 8 summary charts provide the **percentages** of responses for an overall wellness question addressing each of the 8 dimensions of wellness. For example participants were asked, "When you consider your overall wellness, including both personal and workplace, how satisfied are you with your overall emotional wellness?"

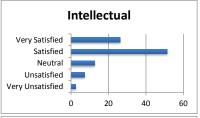


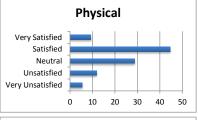


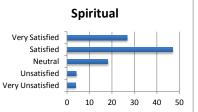












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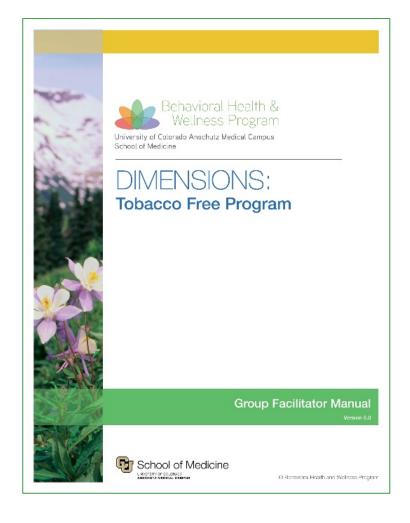


### DIMENSIONS Tobacco Free Advanced Techniques Curriculum

Corrections

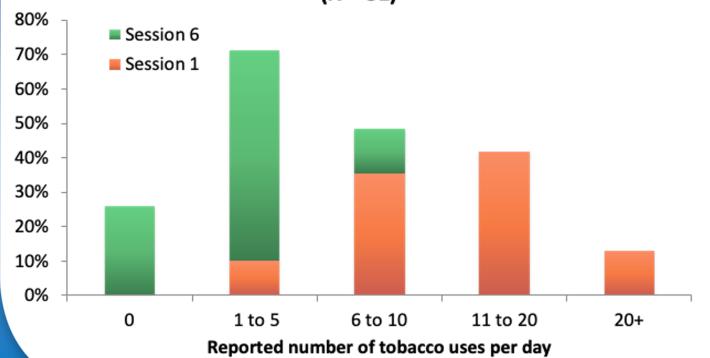
#### Curriculum

- Six 60-90-minute sessions, flexible format
- Curriculum is tailored for specific populations
- Facilitation
  - Provider or peer facilitator
- Cessation Medications
  - Variable sources



### DIMENSIONS Personal Progress Form

### Percentage of participants reporting various tobacco use frequencies across sessions 1 and 6 (N = 31)







Please send completed forms to

Email: bh.wellness@ucdenve

Fax: 303.724.3717

MS F478, 1784 Racine St. Aurora, CO 80045

#### DIMENSIONS: Personal Progress Form

Are you Hispanic or Latino?				
If you have NEVER been a tob	acco user, please check this box 🔲 and do NOT complete the rest of this form.			
During the past week, which type(s) of tobacco or nicotine did you use? (Check all that apply)  Clgarettes Chew Clgars	During the past  O None week, how many cigarettes (or other tobacco products) did you smoke or use In an average day? O None During the past week, did you use NRT? O Yes O No IF YES, how often did you use NRT? O Multiple times per day Once per day Less than once per day			
O Snuff/Snus O E-clgs/Vape O Hookah None	Have you made a quit attempt <b>since the last group</b> you attended?  O Yes  No  This is my first group  IF YES, what was the longest time you stayed quit since the last group?  O < 1 day  1 - 2 days  3 - 7 days  > 1 week			
Please complete the following EVEN IF you have successfully quit using tobacco products.  Having NRT available when I need it is important for helping me live a tobacco-free life.  Strongly Disagree  I have the knowledge I need to lead a tobacco-free life after my release.				
Strongly 0 1	2 3 4 5 6 7 8 9 10 Strongly Agree			
I plan to take steps toward living a tobacco-free life after my release.  Strongly Disagree 0 1 2 3 4 5 6 7 8 9 10 Agree				
I am motivated to live a tob Strongly Disagree  0 1	pacco-free life after my release.  2 3 4 5 6 7 8 9 10 Strongly Agree			
I am confident I have the ability to live a tobacco-free life after my release.  Strongly Disagree  O 1 2 3 4 5 6 7 8 9 0 Strongly Agree				
Strongly Disagree 0 1	ort I need to live a tobacco-free life after my release.  2 3 4 5 6 7 8 9 10 Strongly Agree			





### Behavioral Health & Wellness Program

303.724.3713

bh.wellness@ucdenver.edu

www.bhwellness.org







# Collecting Data to Measure the End Outcome of System Changes that Integrate Tobacco Policy and Treatment into Behavioral Health

February 9, 2021 Bruce Christiansen, PhD

#### **Our (Partial) Causal Model**



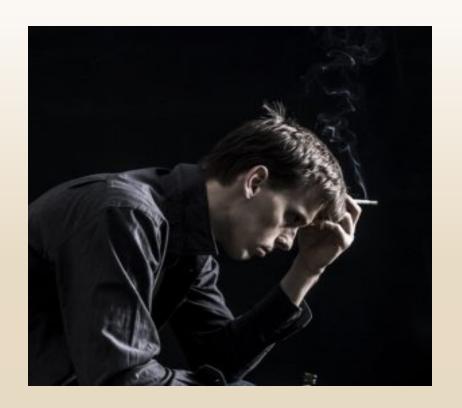


### Finish Line: People coping with a mental illness and/or other addiction quit smoking





## Does this create an imperative to assess the end beneficiary of all our integration work—the smoker?





#### **Maybe Not:**

We know that all the evidence-based tobacco dependence interventions are effective for smokers coping with a mental illness and/or other addictions. So, if system changes result in behavioral health clinicians providing these evidence-based tobacco interventions, can't we <u>assume</u> that system changes work?



#### Not so fast:

While this assumption is a strong hypothesis, it is just a hypothesis and has to be proven.

- Maybe our system change wasn't implemented with fidelity or has no effect
- Maybe the system changes don't change clinician behavior
- Maybe clinicians provide tobacco interventions, but not effectively

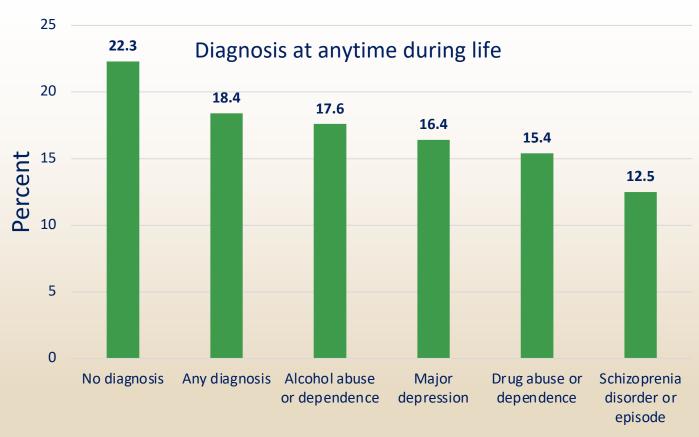


Besides measuring whether our clients are quitting, two other compelling reasons to measure system change outcome at the level of the smoker:

1. We need to learn how to adapt standard evidence-based tobacco dependence treatment for this population.



#### **Quit Rate Over Three Years**



National Epidemiologic Survey on Alcohol and Related Conditions

Smith, Mazure, McKee "Smoking and Mental illness in the US Population" *Tobacco Control* 2014 Nov.: 23(0) e147 - e153

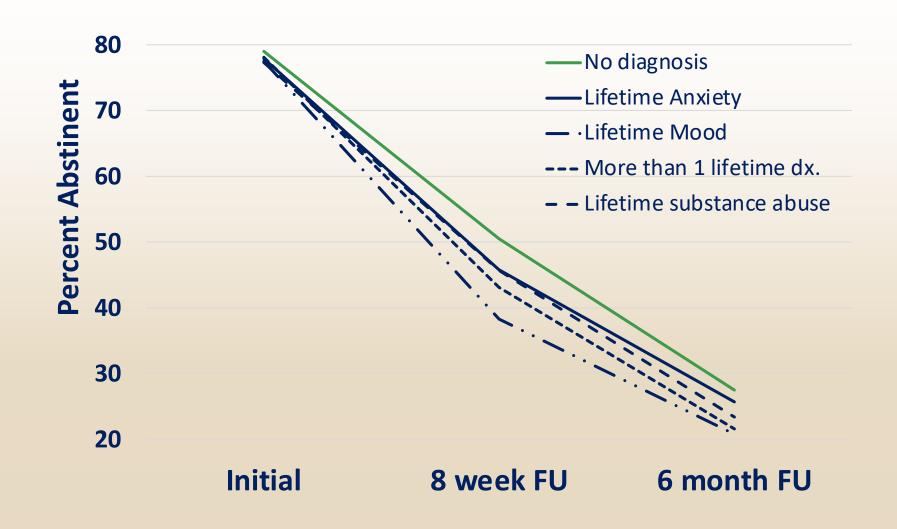


### Psychiatric comorbidities in a comparative effectiveness smoking cessation trial: Relations with cessation success, treatment response, and relapse risk factors

- Randomized Control Trial (RCT)
- Enrolled 1051
- 6 counseling sessions and varenicline, combination NRT, or patch
- Excluded:
  - moderate or severe depression
  - no current suicidal ideation
  - no suicide attempts in past 5 years
  - no dx. or tx. for psychosis in past 10 years



### Treatment Related Abstinence and Behavioral Health





Besides measuring whether our clients are quitting, two other compelling reasons to measure system change outcome at the level of the smoker:

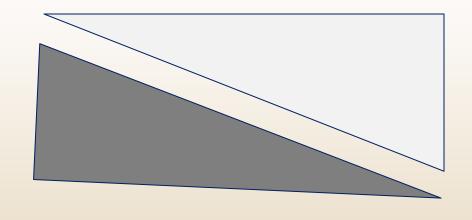
- 1. We need to learn how to adapt standard evidence-based tobacco dependence treatment for this population.
- 2. Quality assurance We have to assess if behavioral health clinicians are effective as a group. We also need to identify which need more help, support, or training.



#### Where will this information come from?

Existing data:

Easy to come by, but often not useful



Collecting your own data:

Very useful, but very hard to come by



Head banging dilemma



### Presentation Objective – Persuade you to consider collecting your own data by:

- 1. Very brief review of the common shortcomings of existing data
- 2. Suggestions to ease the burden of collecting your own data
- 3. Provide an example to demonstrate the value of collecting your own data



#### 1. A Quick Review of the Limitation of Existing Data.

Existing data usually reflects roof tops while our integration work usually takes place at the foundational level

- 1. Not timely: It takes time for our foundational work to alter the roof tops. Often it takes years for a trend to emerge.
- 2. Roof top measurements reflect much more than the foundation. For example, they are affected by secular events.
- 3. Our foundation is often meant to affect only a small piece of one roof (sub-population).



#### 2. Making collecting your own data feasible

- A. Look for partners with capacity
- B. Look for opportunities to add a few questions to existing data collection, especially at the treatment program level
- C. Don't let "good enough" become the victim of "perfection"
  - Write your own questions (What do you want to find out?)
  - Convenience sample
  - High response rate is not essential
  - It need not cost a lot



# 3. Case Study: Evaluating a system change for treating tobacco dependence in behavioral health – the Bucket Approach

The Bucket Approach is evidence-based tobacco dependence treatment that has been tailored to smokers who are coping with a mental illness and who need more support and time for their tobacco journey and for behavioral health clinicians who have limited time to address this pressing need.



Community Support Programs (CSP) and Comprehensive Community Services (CCS) programs



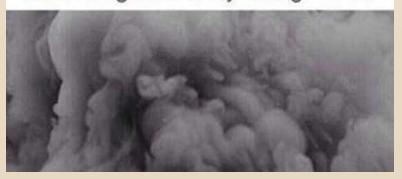
#### **Bucket Approach Baseline Survey**

- Process
- Response rate 50%





Every smoker has a story so before you tell them smoking kills, I want you to know that something is already killing them.



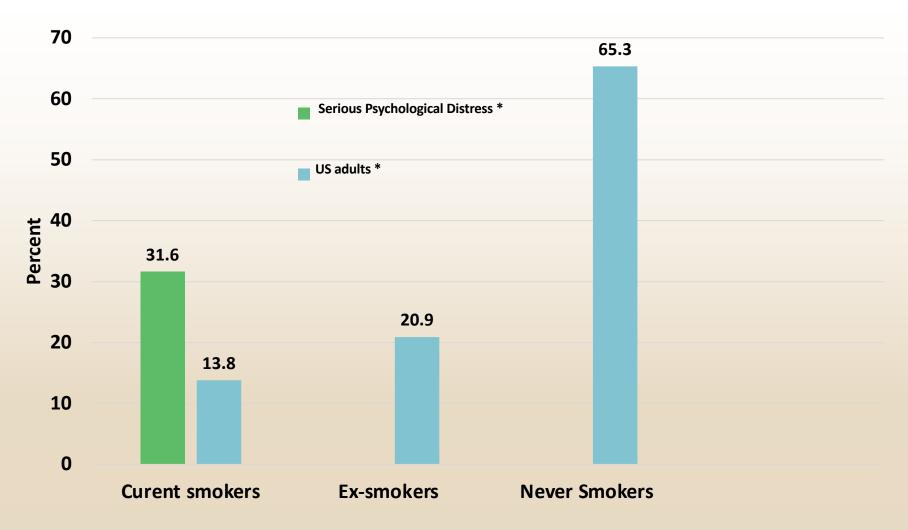


### Value: Is the juice worth the squeeze?





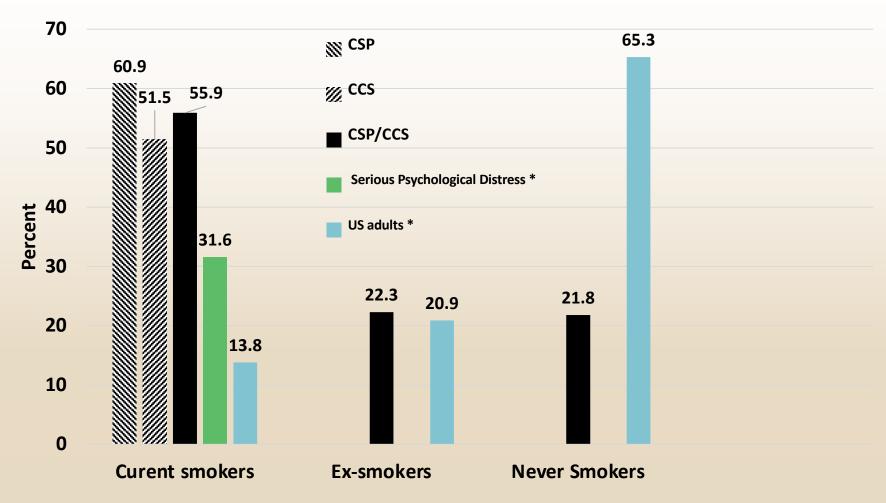
## Value: Is the juice worth the squeeze? Smoking status as a Function of Psychological Distress



<sup>\* 2018</sup> National Health Interview Survey



# Value: Is the juice worth the squeeze? Smoking status as a Function of Psychological Distress





### Value: Is the juice worth the squeeze?

<b>Behavioral Motivation</b>				
Willing to make a quit attempt (Bucket A- Quit Now)	25.9%			
Not willing to make a quit attempt, but willing to prepare to quit or reduce (Bucket B – Act Now)	43.4%			
Only willing to talk about smoking (Bucket C – Only Talk)	11.1%			
Prefer not to talk about smoking (Bucket D – Ask Later)	19.8%			





### Value: Is the juice worth the squeeze?

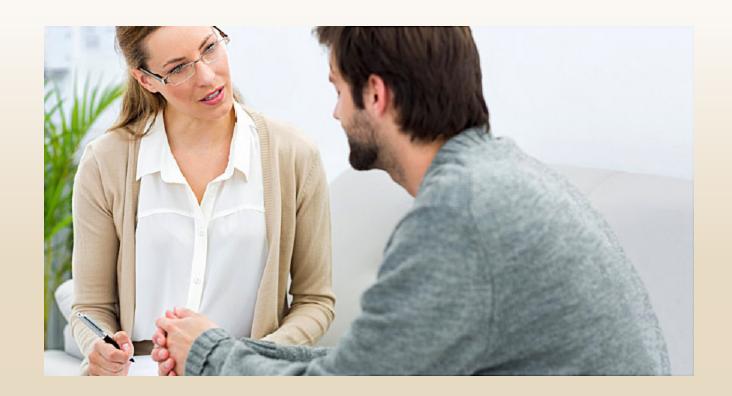
	, , ,	oinions, a			
	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree
I want to quit	12.7%	13.9%	18.7%	29.0%	25.7%
I want CSP/CCS help to quit	13.6%	17.7%	25.7%	28.8%	14.3%
Help from my CSP/CCS means they care	5.8%	4.1%	16.9%	44.8%	28.3%
I know I need help	9.3%	12.4%	17.2%	35.3%	25.8%
	Reverse Sco	pring			
My smoking is of no concern to CSP/CCS	20.4%	33.1%	24.2%	14.6%	7.7%
I didn't come to address smoking so staff should not address	17.1%	26.3%	27.7%	18.3%	10.6%
But	are you read	y to quit?			
Not until in full recovery	13.2%	23.0%	23.9%	30.1%	9.8%
Not at all ready to quit	13.2%	16.3%	24.0%	31.3%	15.1%
I want to quit, but don't think I can	11.3%	24.1%	23.1%	31.3%	10.1%



#### **Our Causal Model**









Working Alliance Inventory (WAI)

- 3 item and 12 item
- Predicts psychotherapy outcome
- Focus on:
  - counselor-client agreement on goals
  - agreement on method to achieve goal
  - bond between counselor and client

Hatcher RL, Gillaspy JA. Development and validation of a revised short version of the Working Alliance Inventory. *Psychotherapy Res.* 2006;16:12-25. Horvath AO, Del Re AC, Fluckiger C, Symonds D. Alliance in individual psychotherapy. *Psychotherapy (Chic).* 2011;48(1):9-16. doi: 10.1037/a0022186 Horvath AO, Greenberg LS. Development and validation of the Working Alliance Inventory. *J Counsel Psychol.* 1989;36(223).



Working Alliance Inventory for Tobacco - 3 item (WAIT-3)

Below is a list of statements and questions about experiences people have had with their health care provider or professional, referred to below as a tobacco counselor, who talked to them about quitting smoking in the last 6 months. Think about your experience in this interaction, and decide which category best describes your own experience:

[1] Seldom; [2] Sometimes; [3] Fairly Often]; [4] Very Often; [5] Always

Goal: My tobacco counselor and I agreed on clear tobacco treatment goals for me.

Task: My tobacco counselor and I agreed on the method I would use to achieve my tobacco treatment goals.

Bond: I felt that my tobacco counselor appreciated me.



Conversations with staff over the last six months show me that staff:

- 1. agree on clear tobacco treatment goals for me [goal item]
- 2. agree on a method I will use to achieve my tobacco goals [task item]
- 3. appreciate my point of view on tobacco use [bond item]



Mean (average score) = 8.84

**Standard Deviation (variance) = 3.54** 

Range of scores = 3 to 15

Coefficient alpha (interval consistency) = .85



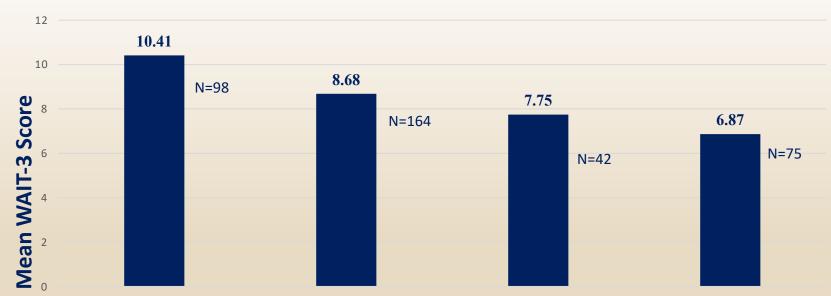


#### People who scored higher on the WAIT-3:

- were more likely to have tried to quit in the past 6 months
- were more likely to have reduced in the past 6 months
- were more likely to have a tobacco goal in their treatment/care plan
- have had more conversations about tobacco with their counselor



#### Mean WAIT-3 Score and Behavioral Intention



I am willing to try to quit I am not willing to try to I am only willing to talk in the near future quit now, but am willing about my smoking, to reduce or learn how nothing more

to quit for some day

I do not want to even talk about my smoking



#### **Correlation between WAIT-3 Scores and attitudes/beliefs**

Belief/Attitude	Correlation	Significance
It is important for me to quit using tobacco.	.250	<.01
It is not a good idea for a person who is coping with a mental illness to try to quit using tobacco until in full recovery.	038	NS
My tobacco use is no concern of my CSP/CCS.	246	<.01
I want my CSP/CCS to help me to quit using tobacco.	.298	<.01
When my CSP/CCS addresses my tobacco use, I know that they care about the whole me.	.292	<.01
I want to quit using tobacco.	.335	<.01
It's OK for my CSP/CCS to help me quit using tobacco as long as doing so doesn't interfere with my other treatment goals.	.266	<.01
I know I need help to quit using tobacco.	.160	=.01
I didn't come to this CSP/CCS to quit using tobacco so staff should not address my tobacco use.	248	<.01
I want to quit using tobacco but don't think I can.	124	<.05
I'm not at all ready to quit using tobacco.	338	<.01



#### **Conclusions**

- 1. Like it or not, we have to measure the results of our integration efforts down to the level of the target of integration the smoker
- 2. It is not likely that existing data will be sufficient for this measurement.
- 3. Fortunately, the barriers to collecting the needed data can be addressed such that collecting this data need not be overly burdensome.
- 4. Besides evaluating the impact of integration, such data can help understand the needs of smokers as well as the effectiveness of behavioral health clinicians to address tobacco.
- 5. Consider using the WAIT-3

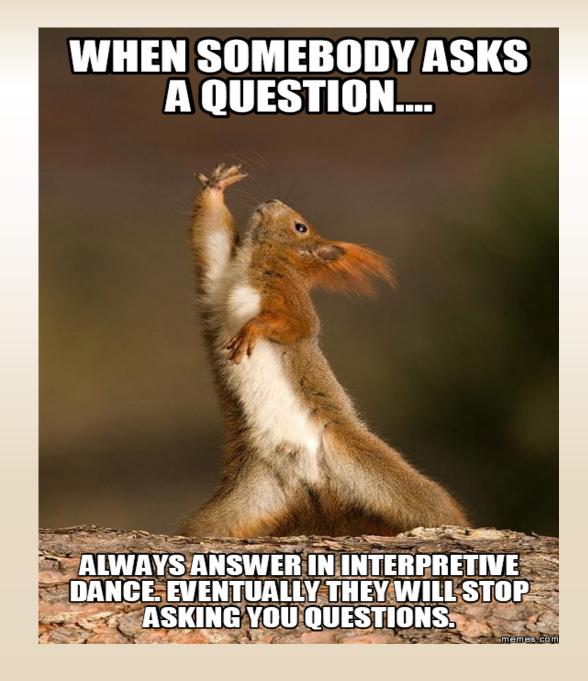


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#### **Questions?**







#### Announcements